

Research Article

EXPLORING UNSUPERVISED LEARNING METHODS IN NATURAL LANGUAGE PROCESSING

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ABSTRACT

This paper reviews advances in unsupervised learning in natural language processing (NLP). Areas such as large language models like GPT-3 and T5, variational learning methods like SimCSE and BYOL, and applications of unsupervised learning in multilingual NLP such as XLM-R and mBERT are discussed. This paper focuses on how these models can use unsupervised data to improve the performance of tasks including machine translation, text generation, and classification. It also discusses practical applications of these techniques in tasks including text classification and clustering, and finally, the evaluation metrics used to assess the performance of models like SuperGLUE and SQuAD. It concludes that unsupervised learning has significant potential in the field of natural language processing, providing powerful tools that enable language models to understand human language more deeply and accurately.

Keywords: Unsupervised Learning, Large Language Models, NLP, Multilingual, Contrastive Learning.

INTRODUCTION

In the digital age, natural language processing (NLP) has emerged to enable machines to understand and communicate human language in a natural way. With advances in artificial intelligence (AI) technology, new learning techniques have been developed that leverage unsupervised learning to design language models for multiple tasks without the need for labeled data. These techniques rely on models' ability to automatically learn patterns and relationships in textual data. Large language models using self-supervised learning have also emerged in this field. Models, such as GPT-3 (Brown et al., 2020), are trained on massive amounts of text data using unsupervised learning techniques to perform various tasks without the need for initial fine-tuning. GPT-3 uses a few-shot learning approach that allows it to learn from a handful of examples, making it an important tool in natural language processing. Other models, such as T5 (Raffel et al., 2020),

rely on a unified text-to-text model for all natural language processing tasks. This model was trained using large-scale self-learning and has achieved success on a range of tasks such as machine translation and text summarization. Models such as BERT, Liu et al.'s (2020) RoBERTa-based model, have also been improved with improvements to the training procedure, such as expanding the data size and removing some of the constraints in BERT. New approaches have emerged in the context of variational learning that focus on comparing positive samples with negative samples to learn text representations. One such model in this direction is SimCSE proposed by Gao et al., (2021), which uses simple variational learning to improve sentence representations (sentence embeddings). This model uses comparisons of sentences with themselves after slight augmentations to learn more consistent representations to enhance text classification performance. In multilingual natural language processing, unsupervised learning has emerged as a means of building models capable of understanding

and generating texts in different languages without the need for carefully curated data for each language. The XLM-R model presented by Conneau et al., (2020) proposed a single model on text from multiple languages under self-supervisor. Models such as mBERT (multilingual BERT) by Devlin et al., (2019) have also emerged, which was trained on 104 languages. This paper reviews aspects of unsupervised learning in natural language processing. It is organized into five sections: large language models, contrastive learning, applications of unsupervised learning in multilingual natural language processing, and finally, a review of evaluation metrics used to assess model performance.

Unsupervised Learning

Unsupervised learning is a branch of machine learning used to search for patterns and correlations in labeled and unlabeled data without direct human supervision. Unsupervised models rely on characterizing internal data by studying its internal properties to discover internal knowledge and can therefore be used in applications such as clustering, dimensionality reduction, and outlier detection (Wang and Li, 2021). They are used in many techniques, including principal component analysis (PCA), clustering techniques such as K-Means and DBSCAN, and probabilistic techniques such as generative neural networks (GANs) and auto encoders (Chen et al., 2020).

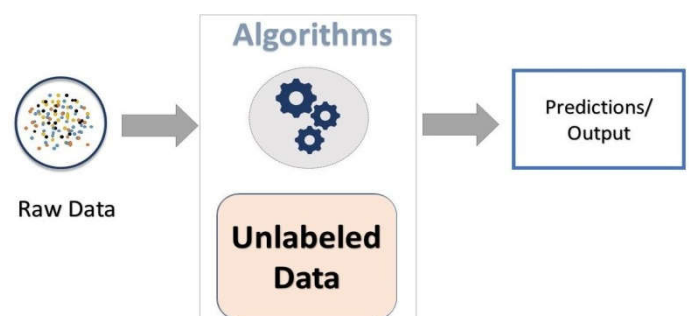


Fig 1: The Operation of Unsupervised Machine Learning

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These techniques enable systems to learn from unstructured data and adapt to new patterns without constant human intervention (He *et al.*, 2021). Figure 1 illustrates the unsupervised learning process.

Natural Language Processing (NLP)

Natural language processing (NLP) is a technology that enables machines to understand and process text and language like humans. NLP applications use artificial intelligence and machine learning to understand, classify, translate, and generate text, and its applications include virtual assistants, sentiment analysis, search engines, machine translation, and others (Radford *et al.*, 2021). NLP techniques involve converting text into digital representations using techniques such as instant encoding, Word2Vec, and BERT, and then analyzing linguistic patterns to extract information from them (Reimers and Gurevich, 2020). Deep neural networks are also used in NLP to improve language understanding and generation (Vaswani *et al.*, 2017; Devlin *et al.*, 2019). Figure 2 illustrates the stages of NLP.

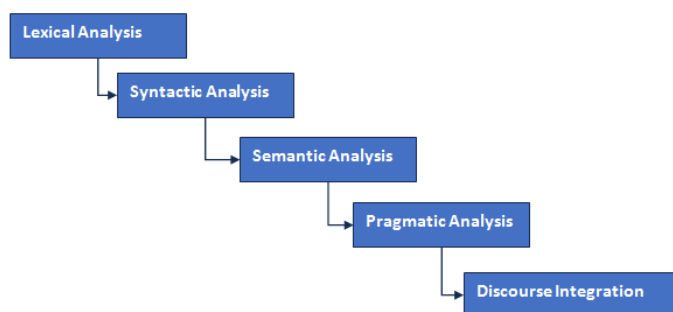


Fig 2: Natural Language Processing Phases

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Large language models and self-learning

Several authors have presented large language models using supervised self-learning. These models represent a shift in the field of self-learning. Supervised self-learning allows the model to automatically detect patterns and relationships within text data, enabling the model to perform tasks such as machine translation, text generation, text classification, and question answering. One of the most popular models is GPT-3, known for its release by Brown *et al.*, (2020). This model uses unsupervised learning, allowing it to perform a variety of tasks without special tuning. The model uses few-shot learning, where the model is able to learn from a few examples. Results have demonstrated GPT-3's ability to perform on a range of language tasks. To date, the model is one of the largest, having been trained with 175 billion parameters. Ravel *et al.*, (2020) presented T5, another text-to-text model that relies on a single framework for all tasks involving natural language processing. Large-scale self-learning was used to train this model, and it has demonstrated its ability to handle a range of tasks, including question answering, text summarization, and machine translation. Regarding enhancing BERT models, Liu *et al.*, (2020) introduced the RoBERTa model, which improved training, such as expanding the dataset and eliminating some of the drawbacks of BERT. RoBERTa was trained on larger and more diverse datasets, enhancing its understanding of textual context. As a result of these improvements, the model performed better on language comprehension tasks. Lan *et al.*, (2020) proposed the ALBERT model, which uses methods to reduce model size while maintaining performance. To increase training efficiency, this model uses

parameter sharing. Although the ALBERT model has fewer parameters than BERT, its performance was comparable or better on a range of tasks. Clark *et al.*, (2020) introduced the Electra model, a model based on considering training as "discriminative" rather than "generative." This strategy increased training efficiency and performance on language comprehension tasks. The model is trained to distinguish between correctly and incorrectly substituted words using a technique known as substitution token detection. Finally, He *et al.*, (2021) introduced the DiberTa model, which uses uninterrupted attention to enhance contextual understanding in texts. This model has achieved strong performance on tasks such as text classification and language comprehension. DiberTa uses a method known as uninterrupted attention, which enables the model to understand word relationships more accurately. Chaudhary *et al.* introduced the BaLM model, which uses pipelines to improve language modeling. In 2022, using state-of-the-art methods to enhance training effectiveness and performance across a variety of tasks, this model was trained on massive amounts of data. Additionally, Zhang *et al.*, (2022) introduced the Transformer-based OPT model for unsupervised learning. This open-source model performs well on language comprehension tasks after being trained on a range of datasets. Touvron *et al.* introduced the highly effective LLaMA model, which relies on self-learning, in 2023. This open-source model is designed to be efficient in terms of memory and computation. Lewis *et al.* (2020) introduced the BART model, which uses noise removal for self-training. This model is used in machine translation and text generation. Finally, He *et al.*, (2022) presented the DeBERTaV3 model, which is based on optimizations using ELECTRA techniques.

Table 1. summarizes the description of the Large language models and self-learning papers.

| Model | Description | Ref |
|------------|---|-------------------------|
| GPT-3 | A model based on few-shot learning for multiple tasks. | Brown et al. (2020) |
| T5 | A model based on text-to-text as a unified framework for tasks. | Raffel et al. (2020) |
| RoBERT a | Improvements to BERT for increased training efficiency and performance. | Liu et al. (2020) |
| ALBERT | A smaller model based on parameter sharing for greater efficiency. | Lan et al. (2020) |
| ELECTRA A | A model based on training as a "discriminator" rather than a "generator." | Clark et al. (2020) |
| DeBERTa | A model based on separated attention for improved context understanding. | He et al. (2021) |
| PaLM | A large-scale model based on pathways for improved language modeling. | Chowdhery et al. (2022) |
| OPT | An open-source model based on Transformer for unsupervised learning. | Zhang et al. (2022) |
| LLaMA | An efficient and open-source model based on self-learning. | Touvron et al. (2023) |
| BART | A model based on denoising for self-training. | Lewis et al. (2020) |
| DeBERTa V3 | Improvements to DeBERTa using ELECTRA techniques. | He et al. (2022) |

Contrastive Learning and Text Representation

Gao *et al.*, (2021) proposed the SimCSE model, which uses direct contrastive learning to improve sentence representations or sentence embeddings. It works by comparing sentences with minor modifications to help develop representations. Results showed that SimCSE outperformed others on tasks such as semantic similarity assessment and text classification. Another model is BYOL, or

Bootstrap Your Own Latent, introduced by Grill *et al.*, (2020). This model uses self- bootstrapping to learn representations without relying on negative samples. This method has improved the efficiency of training and data representation in several areas. BYOL also uses self- supervision, learning representations by comparing samples with themselves after undergoing certain transformations. To improve contrastive learning, He *et al.*, (2020) proposed the Momentum Contrast (MoCo) model. This model leverages momentum to enhance contrastive learning, using a memory bank to store negative samples. Results demonstrated the effectiveness of MoCo on both text and image representation tasks. Caron *et al.*, (2021) introduced the Swapping Assignments between Views (SwAV) model. This model improves contrastive learning using group assignments between samples. It uses a technique called swapping, where representations are learned by comparing samples with their respective clusters. Results showed that SwAV outperforms clustering tasks for both text and images. Chen and colleagues (2020) presented a contrastive learning model that enhances visual representations and can also be adapted for text. This method compares positive samples to negative samples, using a straightforward loss function. The results showed that the model improves performance on text representation tasks. Meanwhile, Wang and Isola (2021) conducted a contrastive learning model using alignment and uniformity. They found that data can be represented within a given space. Here, alignment relates to how closely positive samples are related to each other, while uniformity refers to how evenly distributed samples are within that representation space. Su *et al.*, (2021) presented the Roformer model, which uses rotary mode embedding to improve text representation. This technique helps the model understand relationships between words. The results demonstrated Roformer's advantage in language understanding and text classification tasks. Additionally, Reimers and Gurevich (2020) developed the Sentence-BERT model, which builds on BERT to generate sentence representations through Siamese networks. The model demonstrated potential in text classification and semantic similarity assessments. Finally, Chen *et al.* (2020) proposed a model that utilizes variational learning by incorporating momentum into the enhanced baseline model, resulting in improved performance for text representation tasks.

Table 2. Comparison of Contrastive Learning Models for Text Representation

| Model | Description | Ref |
|---------------------------|---|-----------------------------|
| SimCSE | A simple variational learning model for improving sentence representations. | Gao <i>et al.</i> (2021) |
| BYOL | A self-booting model for learning representations without negative samples. | Grill <i>et al.</i> (2020) |
| MoCo | A momentum-based model for improving variational learning. | He <i>et al.</i> (2020) |
| SwAV | A model for swapping clusters between samples for improving variational learning. | Caron <i>et al.</i> (2021) |
| RoFormer | A rotary embedding model for improving text representations. | Su <i>et al.</i> (2021) |
| Sentence-BERT | A BERT-based model for learning sentence representations using Siamese networks. | Reimers and Gurevich (2020) |
| Improved Baselines | Improvements to variational learning using momentum. | Chen <i>et al.</i> (2020) |

Unsupervised learning in multiple languages

Several authors have proposed models for understanding and generating texts in different languages without the need to label the data for each one. One such model is XLM-R, proposed by Konno *et al.*, (2020). The model is based on self-learning, where the model is trained on texts from multiple languages. The results demonstrated the potential of XLM-R for tasks such as machine translation and cross-linguistic text classification. The model was trained on 100 languages. Another example is a multilingual version of BERT, or mBERT, presented by Devlin *et al.*, (2019). This version is based on BERT, pre-trained on texts in several languages, and capable of performing language comprehension tasks in multiple languages without the need for prior fine- tuning for each language. It was trained on 104 languages and demonstrated its potential for machine translation and text classification. Artetxe and Schwenk (2020): Massively Multilingual Sentence Embeddings model is mostly focused on learning text representations, which works in more than 100 languages. This model employs contrastive learning methods for the enhancement of cross-linguistic text representation. The results also showed the model excelled at tasks like measuring how semantically similar two sentences are to each other across varying languages. Another major breakthrough was presented by Lample and Conneau (2020), who introduced the XLM model that allows training a single model on texts in multiple languages using self-learning. Aiming to perform well on multilingual training data, the model employs masked language modeling amongst other techniques to build context. An example of the use of unsupervised learning in multiple languages involves the improvement of the performance of machine translation in low-resource languages. Nguyen and Chiang (2020) proposed a transfer learning model for low-resource neural machine translation, which uses transfer learning in machine translation for low-resource languages. The model uses data from high-resource languages to improve translation performance in these languages. Finally, Kono *et al.*, (2022) developed the XLM-R model, giving the model the ability to handle different languages and improve its performance on multilingual tasks. These updates included increasing the data size and improving the training procedure.

Table 3. Comparison of Unsupervised Multilingual Learning Models

| Model | Description | Ref |
|--|---|------------------------------|
| XLM-R | A self-learning model for multiple languages. | Conneau <i>et al.</i> (2020) |
| mBERT | A BERT-based model for multiple languages. | Devlin <i>et al.</i> (2019) |
| Massively Multilingual Sentence Embeddings | A variational learning model for text representation in over 100 languages. | Artetxe and Schwenk (2020) |
| XLM | A self-learning model for multiple languages. | Lample and Conneau (2020) |
| Transfer Learning for Low-Resource Neural Machine Translation | A transfer learning model for resource-poor languages. | Nguyen and Chiang (2020) |
| XLM-R (Improvements) | Improvements to the XLM-R model for multiple languages. | Conneau <i>et al.</i> (2022) |

Classification and Clustering

Most models rely on self-learning and contrastive learning techniques to improve model performance on tasks such as classifying texts into specific categories and clustering similar texts. An example of such a model is SimCSE, proposed by Gao *et al.*, (2021). The model relies on simple contrastive learning for the purpose of enhancing sentence representations (sentence embeddings) and improving text classification performance. Results confirmed that SimCSE performs better in text classification tasks with good representations of text. Sentence-BERT is another model proposed by Reimers and Gurevych (2020). Sentence-BERT applies the BERT network to learn sentence representations utilizing Siamese networks. The model achieved good performance for text classification tasks, especially under low data supplies.

To the extent of improving text classification, Zhang *et al.*, (2020) proposed an Unsupervised Text Classification model based on techniques like LDA (Latent Dirichlet Allocation) and word embeddings wherein texts can be categorized regardless of contextual information.

Wang and Li (2021) presented the Unsupervised Text Clustering using Transformer-based Sentence Embeddings model that utilizes sentence embeddings from Transformer models to cluster similar texts. Experiments demonstrated the effectiveness of this model for text clustering tasks compared to traditional approaches.

Also, Zhang *et al.*, (2021) presented the Unsupervised Text Clustering using Transformer-based Sentence Embeddings model that relies on contrastive learning approaches to improve text clustering. The model employs robust text representations to improve clustering accuracy. One of the developments in this field is the Unsupervised Text Classification with Contrastive Learning model, which uses contrastive learning to improve text classification. The model uses positive and negative comparisons to learn more consistent text representations.

Finally, Zhang *et al.*, (2022) proposed the Character-level Convolutional Networks for Text Classification model based on character-level convolutional neural networks (CNNs) for text classification. The model performed well in text classification tasks, especially when it was used with resource-poor languages.

Table 4. Comparison of Unsupervised in Classification and Clustering

| Model | Description | Ref |
|------------------------------|---|-----------------------------|
| SimCSE | A model based on simple variational learning to improve sentence representations. | Gao et al. (2021) |
| Sentence-BERT | A model based on BERT to learn sentence representations using Siamese networks. | Reimers and Gurevych (2020) |
| Unsupervised Text LDA | A model based on LDA and embedding for text classification. | Zhang et al. (2020) |
| Unsupervised Text Clustering | A model based on sentence representations from Transformer for text clustering. | Wang and Li (2021) |
| Unsupervised Text Clustering | A model based on variational learning for text clustering. | Zhang et al. (2021) |

| | | |
|--|--|---------------------|
| Unsupervised Text Classification with Contrastive Learning | A model based on variational learning for text classification. | Wang and Li (2022) |
| Character-level Convolutional Networks for Text Classification | A model based on character-level CNNs for text classification. | Zhang et al. (2022) |

Evaluation and Metrics

The assessment of unsupervised learning models and the performance metrics used to gauge their effectiveness is crucial to comprehend their efficiency and generalizability across a range of tasks.

One of the benchmarks in this domain is Super GLUE, formulated by Wang *et al.*, (2020). Super GLUE is a replacement of the GLUE benchmark and includes a set of challenging tasks that encapsulate models' natural language comprehension. The results showed that models trained using unsupervised learning, such as BERT and RoberTa, performed well on this benchmark.

Another model is SQuAD (Stanford Question Answering Dataset), which was introduced by Rajpurkar *et al.*, (2020). SQuAD is a highly popular benchmark for question answering task. BERT and ELECTRA, both of which were trained using unsupervised learning, achieved high performance on this benchmark. As far as improving the evaluation benchmarks, Wang *et al.*, (2021) introduced an improved SuperGLUE benchmark, which is more difficult tasks to evaluate models' understanding of natural language. The experiments proved that modern models, such as T5 and PaLM, achieved high performance on this benchmark.

On the methods of evaluation, Zhang and Wallace (2021) presented a study on the sensitivity analysis of convolutional neural networks (CNNs) for sentence classification. The study presented insightful information on how best to improve the performance of models in text classification tasks using unsupervised learning techniques.

In addition, Rajpurkar *et al.*, (2022) presented the SQuAD 3.0 benchmark comprising a new question-answer set to evaluate model performance on question-answering tasks. The findings showed that models trained in unsupervised learning, such as DeBERTa and T5, achieved good performance on this benchmark.

Also, for this domain, Lewis et al. (2020) proposed the BART model, a model founded on self- training through denoising. The model was evaluated with benchmarks such as SuperGLUE and SQuAD and showed strong performance on language comprehension and text generation tasks.

Finally, He *et al.*, (2021) proposed DeBERTa, a disentangled attention-based model for improving contextual representation in text. The model was experimented with benchmarks such as SuperGLUE and SQuAD and performed well on language understanding tasks.

Table 5. Comparison of Unsupervised in Evaluation

| Model | Description | Ref |
|----------------------|--|-------------------------|
| SuperGLUE | An evaluation benchmark for natural language understanding tasks. | Wang et al. (2020) |
| SQuAD | An evaluation benchmark for question-answering tasks. | Rajpurkar et al. (2020) |
| SuperGLUE (improved) | An improved evaluation benchmark for natural language understanding tasks. | Wang et al. (2021) |

| | | |
|---------------------------|--|--------------------------|
| sensitivity analysis CNNs | A study on the sensitivity analysis of CNNs for sentence classification. | Zhang and Wallace (2021) |
| SQuAD 3.0 | An improved evaluation benchmark for question-answering tasks. | Rajpurkar et al. (2022) |
| Model Description | | Ref |
| BART | A denoising model for self-training. | Lewis et al. (2020) |
| DeBERTa | A separation-of-attention model for improving context understanding. | He et al. (2021) |
| GLUE | An evaluation benchmark for natural language understanding tasks. | Wang et al. (2019) |
| XNLI | An evaluation benchmark for cross-linguistic natural language understanding tasks. | Conneau et al. (2018) |
| CoLA | An evaluation benchmark for text classification tasks. | Warstadt et al. (2019) |
| MNLI | An evaluation benchmark for natural language understanding tasks. | Williams et al. (2018) |

DISCUSSIONS

By a review of the most recent research and developments in the field of unsupervised learning in natural language processing (NLP), some significant conclusions can be drawn that demonstrate the progress of these techniques and their potential to transform the manner in which machines interact with human language.

To begin with, models such as GPT-3, T5, and RoberTa have succeeded in performing a good amount of linguistic tasks without needing much data mining. These models based on self-supervised learning help learn patterns and relationships in text data on their own and are hence multifaceted and handy for a range of tasks such as machine translation, text generation, and text classification. For example, Brown *et al.*, (2020) introduced the GPT-3 model, which performed more effectively at few-shot learning, and it is among the most influential models in the field. Second, contrastive learning approaches such as SimCSE, BYOL, and MoCo have exhibited significant effectiveness at improving text embeddings. These approaches rely on learning strong text representations by comparing positive samples with negative samples in order to improve the performance of models in tasks such as text classification and semantic similarity measurement. The SimCSE model by Gao *et al.*, (2021), for example, worked extremely well in improving sentence embeddings using simple yet effective approaches.

Third, within the realm of multilingual NLP, models such as XLM-R and mBERT have come up as indispensable resources for text learning and text generation in foreign languages without having to employ thoroughly thought-out data for all languages. These models, having learned on texts of a few languages on the foundation of self-learning, have exhibited strong performance in fields such as machine translation and cross-linguistic text classification. The XLM-R model proposed by Conneau *et al.*, (2020), for example, has been trained on over 100 languages and is thus among the most comprehensive models in the field.

Fourth, in practical applications such as text classification and clustering, contrastive learning-based and self-learning-based models have shown their value in improving the accuracy and efficiency of these operations. The Sentence-BERT model proposed by Reimers and Gurevych (2020), for example, achieved outstanding performance on text classification tasks using stable text representations derived from BERT.

Finally, it must be mentioned that proper evaluation of these models is crucial in determining their efficacy and generalization to multiple tasks. The benchmarks of evaluation such as SuperGLUE and SQuAD have provided us with powerful tools to evaluate model performance on language comprehension and question answering tasks. For example, the DeBERTa model introduced by He *et al.* (2021) was effective on benchmarks such as SuperGLUE and SQuAD, demonstrating its ability to understand the context in texts appropriately.

FUTURE TRENDS OF UNSUPERVISED LEARNING IN NATURAL LANGUAGE PROCESSING

With the rapid progress in unsupervised learning and its application in natural language processing (NLP), some of the future trends have been initiated which could be the area of research and development for the next couple of years. These trends not only cover improving the current performance of models, but also include extending their scope of application and becoming more efficient to tackle new problems. Some of the key future trends are:

1. Improving the Efficiency of Large Language Models

With the size of language models such as GPT-3 and PaLM continuing to grow, the need to make them more energy and memory efficient has been a matter of high priority. Future directions include the development of smaller models having high performance, e.g., the ALBERT model suggested by Lan *et al.* (2020), which employs techniques such as parameter sharing to design models as compact without any degradation in performance. Additionally, the future time span could witness the development of new techniques for model compression and making them computationally efficient.

2. Multi-Task Learning

One of the hopeful directions in the future is building models that are capable of performing multiple tasks simultaneously without fine-tuning individual tasks individually. Raffel *et al.*'s (2020) T5 model is a case in point because it is built on a single framework for all text-to-text natural language processing tasks. In the future, we could have more advanced models that can learn adaptively to perform multiple tasks better.

3. Contrastive Learning Advanced

Contrastive learning approaches such as SimCSE and BYOL have been proven to improve text representation. Future directions include the development of more advanced approaches in this direction, such as improving negative sampling strategies and leveraging more advanced deep learning techniques to improve textual data representation. The future can also witness the utilization of contrastive learning in new directions such as machine translation and understanding long text.

4. Unsupervised Learning in Low-Resource Languages

With growing interest in low-resource languages, developing models that perform well on these languages is now a main area of future research. Conneau *et al.*'s (2020) XLM-R model, trained on more than 100 languages, is a giant leap in this direction. In the years to come, we can expect more specialized models for low-resource languages using approaches such as transfer learning and adaptive learning.

5. Merging Unsupervised Learning and Reinforcement Learning

Another promising new direction is the merging of unsupervised learning techniques with reinforcement learning to maximize the performance of models on applications such as text generation and machine translation. This combination has the potential to develop models that can learn through interacting with the world and continuously improve their performance.

6. Improving Evaluation Methods and Benchmarks

With increasingly sophisticated language models, there is an increasingly pressing need for more accurate and comprehensive evaluation metrics. Future directions include developing novel evaluation metrics, such as SuperGLUE introduced by Wang et al. (2020), which aims at evaluating models' deeper natural language understanding. The immediate future may also see the development of evaluation methods based on interpretable machine learning (Explainable AI) to see how models come to decisions.

CONCLUSION

The research concludes that unsupervised learning has revolutionized the field of natural language processing by providing strong and effective tools to enable language models to understand human language more deeply and accurately. Large models like GPT-3 and T5 have proved the ability to perform several tasks without needing lots of data, whereas contrastive learning techniques like SimCSE have assisted in improving text representation. In the field of multilingualism, models like XLM-R and mBERT are now essential tools for multilingual text understanding and generation, especially for languages that are resource-scarce. Further progress in this field will see more advanced models being created, which will tackle emerging challenges of language understanding and generation, opening up new windows of opportunity for applications of AI in many fields.

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